Susitna-Watana Hydroelectric Project (FERC No. 14241)

Susitna River Fish Distribution and Abundance Implementation Plan:

Appendix 3. Protocol for Site-Specific Gear Type Selection; Version 5

Prepared for

Alaska Energy Authority



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APPENDIX 3. PROTOCOL FOR SITE-SPECIFIC GEAR TYPE SELECTION - VERSION 5

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1. INTRODUCTION

During the Susitna-Watana Hydro fish distribution and abundance studies, as described in RSP Sections 9.5 and 9.6 (AEA 2012) and in the Fish Distribution and Abundance Implementation Plan (R2 Resource Consultants, Inc. 2013), fish surveys will be conducted by multiple field crews. Each field crew will be equipped with a variety of gear types for sampling (see Section 8 of the Implementation Plan) and will need to select appropriate gear types to deploy based on varying habitat conditions. To properly address study objectives that require fish collection across diverse habitat types and multiple seasons, targeting a wide variety of life stages and species that inhabit different areas of the water column, a standardized approach for gear type selection is imperative.

The effectiveness of fish sampling gear is variable both in time and space and is influenced by physiochemical site parameters (turbidity, velocity, depth, substrate size and conductivity), cover types (aquatic vegetation, snags and debris), as well as target fish behavior (diet for baited techniques, activity periods, microhabitat preferences, and migration patterns).

This protocol will be used by field crews when selecting appropriate gear types in order to facilitate the use of standardized and repeatable sampling methods among different crews, in varying habitats, and across seasons. In subsequent revisions, gear selection priority and sampling approach has been updated based on FERC study plan determinations, review of data, feedback from field crews on logistical constraints, and according to annual ADF&G permit restrictions. All fish sampling crews will use this document during annual orientation and training prior to the field season and are expected to carry a copy of this appendix with them in the field. Decision trees are included for crews to quickly determine the appropriate gear types to use based on site conditions (Figures 1-4).

This appendix is a living document and updated versions will be produced as needed to provide consistent direction to all field teams. Version 1 of Appendix 3 was originally filed with the Fish Distribution and Abundance Implementation Plan in March 2013. That version was updated twice (Versions 2 and 3) during the 2013 field season to accommodate protocol changes that related to FERC's Study Plan Determination, field permits, and lessons learned during implementation in our first field season. Version 4 was the protocol used for the 2014 field season and was updated with respect to the prioritization of gear use and based on 2013 data collected. This version herein, Version 5 will be followed during the 2015 field season.

2. GEAR TYPE SELECTION APPROACH

The sampling techniques presented in Section 8 of the Implementation Plan have been organized into two tiers for both wadeable and non-wadeable stream conditions (Table 1). Under each scenario (i.e., wadeable and non-wadeable conditions), the first tier represents a set of methods

that should be employed at each sampling site whenever feasible. Consistent application of the Tier 1 methods should be used wherever feasible such that fish distribution and abundance surveys are standardized and repeatable across space and time. However, it is expected that some Tier 1 methods will not be suitable under a given set of habitat or microhabitat conditions. Thus, alternative or supplemental gear types may need to be employed. These alternative and supplemental sampling techniques have been categorized as Tier 2 methods and should be used when the complexity of the habitat or presence of adult salmon limits the application or Tier 1 methods. Specific habitat and microhabitat conditions conducive for sampling with each of the Tier 1 and Tier 2 methods are provided in Tables 2 and 3. For each sampling technique, constraints with respect to depth, velocity, conductivity, visibility, water temperature, substrate and instream cover characteristics, and adult salmon presence are identified where applicable. Table 4 provides recommended target voltages for backpack electrofishing. Table 5 also provides other bulleted notes on requirements and/or limitations (e.g., selectivity for small or large fish, potential lethality) associated with each gear type.

Whenever feasible (water clarity, depth, and velocity) snorkeling, should be employed consistently as the first technique because it does not involve fish handling (moved from Tier 2 to Tier 1 in 2014 for emphasis). Techniques that require overnight or 24-hour soak times should be set last before leaving the site. For example, if snorkeling, electrofishing and minnow trapping are the techniques selected for a site, they should be done in that order. Snorkeling as the first method is also advantageous because it will alert field crews to the presence of adult salmon and trout in the sampling unit (electrofishing is prohibited in the presence of this life stage) and aid in subsequent gear selection and placement. In instances when two active sampling techniques are selected, most commonly electrofishing and seining, they should be employed on separate days whenever possible and electrofishing should follow seining (Poesch 2014).

For relative abundance sampling, block nets should be used whenever site conditions allow (moderate depths of 1-5 feet and little to no velocity) and should be set with minimal disturbance of the site prior to any sampling activities. Block netting is only suitable for low gradient habitats; this generally includes upland sloughs, side sloughs, beaver complexes, and some low gradient tributaries (e.g., Whiskers Creek and Chase Creek). If block nets are selected for a sampling unit during event one, efforts should be made to consistently use block nets during subsequent sampling.

3. LEVEL OF SAMPLING EFFORT

Although catch per unit effort (CPUE) estimates offer a way to standardize capture data across sampling units and events, field crews are expected to follow additional guidelines that are aimed at ensuring an appropriate level of effort is being applied for each sampling event. These guidelines are presented in Table 5. Regardless of the sampling method applied, representative

length and width measurements will be taken to describe the area surveyed for each method employed at a given site. Drawings that indicate number and locations of nets and/or traps deployed throughout the unit will also be prepared for each sample and will aide in gear retrieval. Additional parameters that will be recorded to gauge the effectiveness of each selected method and to estimate catch per unit effort (CPUE) are shown on the method-specific field forms provided in Appendix 10 of the Fish Distribution and Abundance Implementation Plan (R2 Resource Consultants, Inc. 2013).

For distribution and relative abundance sampling, a minimum of three techniques should be used at a sampling unit. For example, when a main channel unit contains both wadeable and nonwadeable areas the non-wadeable area may be sampled with (1) boat electrofishing and the wadeable area along the channel margins may be sampled with (2) backpack electrofishing, and (3) minnow traps (Figure 5). The sampling unit length is 500 meters for the non-wadeable main channel and side channel areas and a subsample of the wadeable nearshore sampling area (e.g., 200 m). Off-channel and tributary sampling unit lengths are 20 x the channel width or 200 m, whichever is less. When an off-channel or tributary unit contains a diversity of mesohabitat types (pool, riffle, glide) ensure that at least two techniques are employed in each available habitat (i.e., no mesohabitats go un-sampled or sampled with only one technique, Figures 6-8). Depending on site conditions and limitations or restrictions associated with Tier 1 techniques, it is also recommended that minnow traps and hoop traps are paired together as they differ in size and species selectivity. The appropriate level of effort should be determined for each gear type prior to sampling based on the length and area of mesohabitat available. For example, if a 200 m site only contains 80 meters of habitat appropriate for minnow trapping, 8-16 traps (1-2 traps per 10 meters) should be fished depending on the channel width and habitat complexity.

4. MICROHABITAT DIVERSITY

Although fish distribution and abundance sampling will be conducted within macrohabitats (e.g., side channel, side slough, tributary plume) and mesohabitats (e.g., riffle, run/glide, pool), it is anticipated that sampling sites will range in their degree of habitat complexity and microhabitat diversity. For example, some habitats may be relatively uniform throughout the length and width of the sampling unit, whereas others may be characterized by multiple microhabitat conditions. Various microhabitat conditions within a sample unit may result from differences in depth, flow, and the abundance and spatial distribution of instream cover types (e.g., undercut banks, aquatic vegetation, woody debris, and large boulders). For this reason, it is expected that different gear types will be needed to survey different microhabitats within a given site.

For example, we will consider a mainstem habitat sampling unit that is composed of largely nonwadeable and moderately turbid run/glide habitat with an average velocity of 4 feet per second (fps), has an average thalweg depth of 0.8 meters (m), but also is characterized by wadeable and sparsely vegetated channel margins, or "edge habitat", with uniform gravel substrates in some areas and large cobbles in other areas. Sampling in this unit would require the use of Tier 1 methods for non-wadeable habitats that meet the microhabitat conditions specified in Table 2 and may include boat electrofishing and drift gillnetting. Additionally, one Tier 2 gear type that is suited to sampling the mainstem channel would be employed including: minnow traps, beach seines, or backpack electrofishing along wadeable edge habitat or angling or trotlines in non-wadeable areas.

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6. TABLES

 Table 1. Tier 1 and Tier 2 Fish Distribution and Abundance Sampling Methods for Use in Wadeable and Non-Wadeable

 Survey Areas

Wading Condition ^a	Tier 1 Methods⁵	Tier 2 Methods ^b
wadeable	 fyke nets (FYK) snorkeling (SNK) d beach seines (SEN) backpack electrofishing (PEF) 	 hoop traps (HOT) baited minnow traps (MINB) set gill nets (GNS) &/or drift gill nets (GNF) angling (ANG) baited trotlines (TRLB) &/or set lines (STLB)
non- wadeable	 boat electrofishing (BEF) fyke nets (FYK), &/or hoop traps (HOT) set gill nets (GNS) &/or drift gill nets (GNF) 	 snorkeling (SNK)^d angling (ANG) baited trotlines (TRLB) &/or set lines (STLB) baited minnow traps (MINB)^{c,d} beach seines (SEN) in wadeable edge microhabitats^d backpack electrofishing (PEF) in wadeable edge microhabitats^d

^a Habitats and microhabitats can be generally characterized as wadeable or non-wadeable using the "rule of 10". If the product of the water depth, expressed in feet, and the average velocity, expressed in feet per second, at a given habitat or microhabitat is less than 10, then the habitat is likely to be wadeable for most field crew members. Conversely, if the product is 10 or greater, then the habitat is not likely to be wadeable. Other factors (e.g., mossy and/or boulder substrates) are also likely to affect whether or not a habitat can be safely waded. Ultimately, safety is the priority concern, and each crew must determine for themselves whether or not a habitat can be safely waded without posing significant risk to its team members.

^b Tier 1 represents the set of methods that should be employed at each sampling site whenever feasible; Tier 2 represents alternative and supplemental sampling techniques that may be used when habitat conditions preclude the use of and/or limit the efficacy of the Tier 1 methods.

^c The use of minnow traps was stressed in 2013 study efforts, however they are very selective and the same fish species and sizes can be sampled through more versatile fyke netting and seining. In 2014, the use of minnow traps has been dropped to Tier 2 and they should generally be used when they are the best option available for example in deep, non-wadeable upland sloughs.

^d In habitats that are largely non-wadeable, gear types suited for use in wadeable habitats may be employed to sample wadeable edge habitats. Generally, gear types appropriate for sampling edge habitats include seining, backpack electrofishing, minnow trapping, and snorkeling; note that these latter two methods may be used to sample non-wadeable habitats as well.

Method	Suitable Habitat/Microhabitat Conditions	Other Sampling Notes, Requirements, and/or Limitations
beach seines (SEN)	 wadeable habitats 	 employ at all sites where feasible, even if only along channel margin
	 depth ≤1.2 m 	 can be size selective, depending on mesh size
	 velocity ≤3 fps (low to moderate) 	 suitable for use with block nets for relative abundance sampling when feasible
	 homogenous gravel & sand/silt substrates 	
	 no woody debris or large substrate snags 	
	 minimal aquatic vegetation 	
	 minimal undercut banks 	
backpack electrofishing	 wadeable habitats 	 employ at all sites with no adult salmonids (salmon, trout, and char >12 inches)
(PEF)	 depths ≤1 m 	 if adult salmon or trout are observed, sampling activity must cease
	 velocity ≤5 fps (low to high) 	suitable for use with block nets for relative abundance sampling when feasible
	 conductivity 40-350 µS/cm 	
	 visibility ≥0.5 m 	
	■ water temperatures ≥4 °C	
	 adult salmon not present 	
snorkeling (SNK)	 wadeable & non-wadeable habitats 	 whenever conditions permit employ as first technique prior to sampling techniques
	 depth ≥0.2 m 	that require fish capture and handling
	 velocity ≤5 fps (low to <i>high</i>) 	 number of divers varies with channel width
	 visibility ≥2 m 	 suitable for use with block nets for relative abundance sampling when feasible
	 water temperature ≥5 °C (preferred), if daytime 	
fyke nets (FYK)	wadeable	 not suitable for use with block nets
	 depth ≥0.5 m 	
	 velocity ≤3 fps (low to moderate) 	
minnow traps, baited	 wadeable & non-wadeable habitats 	 should be employed only when best method available
(MINB)	■ depth ≥0.4 m	 selective for smaller fish
· ·	 velocity ≤3 fps (low to moderate) 	 suitable for use with block nets for relative abundance sampling when feasible
		Can be paired with hoop traps as they differ in species and size selectivity
hoop traps (HOT)	 wadeable & non-wadeable habitats 	 selective for medium-large fish attracted to bait
,	■ depth ≥0.5 m	 suitable for use with block nets for relative abundance sampling when feasible
	 velocity ≤3 fps (low to moderate) 	 Can be paired with minnow traps as they differ in species and size selectivity

 Table 2. Recommended Habitat Conditions and Other Sampling Guidelines and/or Limitations for Individual Gear Types

Method	Suitable Habitat/Microhabitat Conditions	Other Sampling Notes, Requirements, and/or Limitations
angling (ANG)	 wadeable & non-wadeable habitats 	 selective for medium to large fish
		 non-preferred method for relative abundance sampling due to highly variable
		efficiency among anglers
		 not suitable for use with block nets
trotlines, baited (TRLB) &	 wadeable & non-wadeable habitats 	 selective for medium to large fish
set lines, baited (STLB)	 velocity ≤4 fps (low to moderately high) 	 not suitable for use with block nets
		 non-preferred method for relative abundance sampling
		 potentially lethal sampling method
		 in higher velocities, bait may be detached from hooks; if this occurs, alternative
		methods should be used
set gill nets (GNS)	 wadeable & non-wadeable habitats 	 size selective
	 depth ≤2 m 	 no instream wood or boulders
	 velocity ≤1 fps (low) 	 low levels of aquatic vegetation
	 no woody debris or large substrate snags 	 not suitable for use with block nets
	 minimal aquatic vegetation 	 non-preferred method for relative abundance sampling
		 potentially lethal sampling method
drift gill nets (GNF)	 wadeable & non-wadeable habitats 	 size selective
	 depth ≤2 m 	 no instream wood or boulders
	 velocity ≤5 fps (low to high) 	 low levels aquatic vegetation
	 no woody debris or large substrate snags 	 not suitable for use with block nets
		 non-preferred method for relative abundance sampling
		 potentially lethal sampling method
boat electrofishing (BEF)	 non-wadeable habitats 	 employ at all sites with no adult salmonids (salmon, trout, and char)
	 depth ≥1 m and ≤3 m 	 if adult salmon or trout are observed, sampling activity must cease
	 velocity ≤5 fps (low to high) 	 not suitable for use with block nets
	 conductivity 40-350 µS/cm 	
	 visibility ≥0.5 m 	
	 water temperatures ≥3 °C 	
	 adult salmon not present 	

References: Smith Root, Temple and Pearsons 2007, O'Neal 2007, WSDOT 2012, Dunham et al. 2009.

Technique	Main channel	Side channel	Upland slough	Side slough	Beaver complex	Backwater	Tributary	Trib or slough mouth	Clear water plume	Pool	Glide	Riffle	Boulder Riffle
Unit Length	500m or 20 x wcw ¹	500m or 20 x wcw	200m or 20 x wcw	200m or 20 x wcw	na	na	na	na					
Backpack Electrofishing	Margin-1	Margin-1	1	1	1	1	1	1	1		1	1	Margin-1
Boat Electrofishing	1	1					1	1	1	1	1	1	
Seining	Margin-2	Margin-2	2	2	2	2	2	2	2	2	2	2	Margin-2
Snorkeling	Margin-3	3*	3*	3*	3*	3*	3*	3*	3*	3*	3*	3*	1*
Fyke net	Margin-4	Margin-4	4	4	4	4	4	4	4		4		
Minnow trapping	Margin-5	Margin-5	5	5	5	5	5	5	5	5	5	5	Pocket water-1
Hoop trap	2	3	6	6	6	6	6	6	6	5	5		Pocket water-2
Angling	3	4	7	7	7	7	7	7	7	6	6	4	2
Trotline	4	5	8	8	8	8	8	8	8	7	7		
Set gill net	5	6	9	9	9	9	9	9	9	8			
Drift gillnet	5	6	9	9		9	9	9	9	8	8		3

Table 3. A guide to fish distribution and abundance sampling technique selection by habitat type and ranking.

*whenever feasible (as site conditions allow) snorkeling should be employed as the first technique before techniques that require fish capture and handling.

¹wcw= wetted channel width

Ambient	5 5		Ambient	Target voltage			
conductivity (µS/cm)	pulsed DC ^a	Smooth DC	conductivity (µS/cm)	pulsed DC	Smooth DC		
20	1155	490	170	306	130		
30	834	354	180	299	127		
40	674	286	190	294	125		
50	577	245	200	289	123		
60	513	218	210	284	121		
70	467	199	220	280	119		
80	433	184	230	276	117		
90	406	173	240	273	116		
100	385	163	250	269	115		
110	367	156	260	266	113		
120	353	150	270	264	112		
130	340	145	280	261	111		
140	330	140	290	259	110		
150	321	136	300	257	109		
160	313	133					

Table 4. Recommended target voltage for standardized backpack electrofishing (constant power transfer) for juvenile salmonids in cold water at various ambient water conductivities (from Buckwalter 2012 et al.).

Note: Target voltage values were calculated for a Smith-Root LR-24 backpack electrofisher fitted with a standard Smith-Root rat-tail cathode (a 10-ft length of braided, 3/16-in stainless-steel cable with the connected end insulated with a 6-ft length of neoprene) and a single anode pole having a standard Smith-Root 11-inch-diameter 3/8-in stainless-steel anode ring, and are optimized for capturing juvenile salmonids in cold, wadeable flowing waters with predominantly rocky substrates. These target voltages may not be optimal for electrofishing systems having a different internal resistance (i.e., different electrofishing system, electrode type, or if electrodes are heavily corroded), if targeting different fish species/life stages, or when electrofishing in nonwadeable waters or over predominantly fine substrates.

We prepared this power-standardization table based on the power-transfer theory for electrofishing (Kolz 1989), using water ambient conductivity measurements and metered electrofisher output values (peak voltage and current) selected while electrofishing to maximize capture-prone responses (taxis and forced swimming) and minimize responses associated with elevated trauma (immobilization, branding, spinal deformities, or recovery period exceeding 15 seconds) in target fish. We assumed fish conductivity = $100 \,\mu$ S/cm.

This table provides a starting voltage setting for standardized backpack electrofishing. While electrofishing, always monitor the response of target and non-target organisms, and fine-tune electrofisher operations and settings as recommended in the user's manual to achieve the desired response.

^a 30 pulses per second, 12% duty cycle (4 mS pulse width)

	Louis of Effort for	Lough of Effort for
Method	Level of Effort for Distribution Sampling	Level of Effort for Relative Abundance Sampling
beach seines (SEN)	 as many seine pulls as needed to cover the seineable area. 1 pass 	 as many seine pulls as needed to cover the seineable area. 1 pass use block nets when feasible
backpack electrofishing (PEF)	 as much time as needed to cover the entire area that can be electrofished recommended pulse duration of >240s for 200m x 1m (200 m²) site, >480s for 200 x 2.5m (500m²) site, >1,020s for 200m x 10m (2000m²) site 1 electrofisher per 5-10 m width of channel 1-pass 	 as much time as needed to cover the entire area that can be electrofished recommended pulse duration of >240s for 200m x 1m (200 m²) site, >480s for 200 x 2.5m (500m²) site, >1,020s for 200m x 10m (2000m²) site 1 electrofisher per 5-10 m width of channel 1-pass Use block nets when feasible
snorkeling (SNK)	 as much time as needed to cover the entire area that can be snorkeled 1 snorkeler per 5-m width of channel 1-pass 	 as much time as needed to cover the entire area that can be snorkeled 1 snorkeler per 5-m width of channel 1 pass Use block nets where feasible
fyke nets (FYK)	 1 net per sample unit 18-24-hour overnight soak 	 1 net per sample unit 18-24-hour overnight soak
minnow traps, baited (MINB)	 1-2 traps per 10-m of sample unit, 20 traps per 200 meters divide unit into quadrants and distribute traps in throughout 24-hour overnight soak 1-pass 	 1-2 traps per 10-m of sampling unit, 20 traps per 200 meters divide unit into quadrants and distribute traps throughout 24-hour overnight soak 1-pass Use block nets where feasible
hoop traps (HOT)	 1 trap per 50-m of appropriate habitat in sampling unit distribute traps evenly throughout trappable microhabitats soak overnight but for ≤12 hours 1-pass 	 1 trap per 50-m of appropriate habitat in sampling unit distribute traps evenly throughout trappable microhabitats soak overnight but for ≤12 hours 1-pass Use block nets where feasible
angling (ANG)	 up to 60 minutes of total angling time 1 pass 	 up to 60 minutes of total angling time 1 pass
trotlines, baited (TRLB) & set lines, baited (STLB)	 1 line per 50-m of appropriate habitat in sampling unit distribute lines evenly throughout fishable microhabitats 1 overnight soak may need to check lines more often at beginning of set, can rebait hooks after 	 1 line per 50-m of appropriate habitat in sampling unit distribute lines evenly throughout fishable microhabitats 1 overnight soak may need to check lines more often at
()))	check ■ Hook gap ≥3/4"	beginning of set, do not rebait hooks until daily check
set gill nets (GNS) ¹	 1 net per sample unit soak overnight but for ≤10 hours constantly/closely monitor net at beginning of set (hourly for first 2-3 hours) and check in early morning 1 pass 	 1 net per sample unit soak overnight but for ≤10 hours Constantly/closely monitor net at beginning of set (hourly for first 2-3 hours) and check in early morning 1 pass

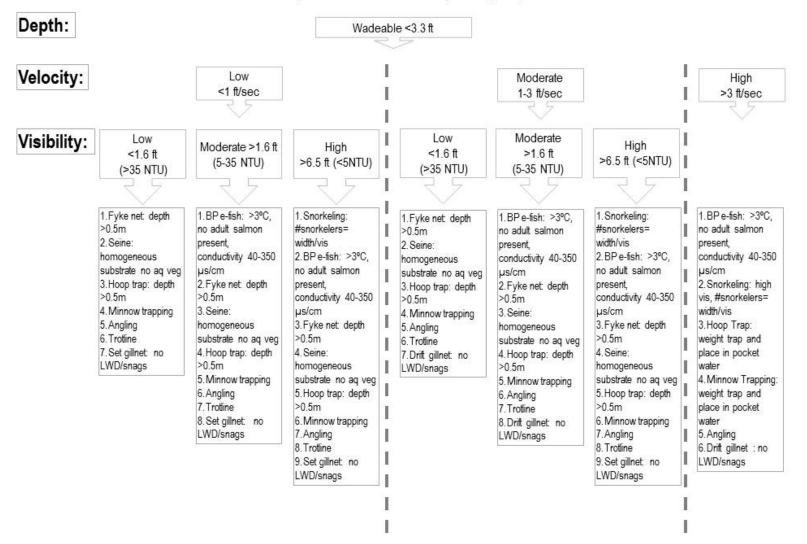
Table 5. Method-Specific Levels of Effort for Distribution and Relative Abundance Samp	ling

Method	Level of Effort for Distribution Sampling	Level of Effort for Relative Abundance Sampling
drift gill nets (GNF)	 1 net per sample unit 30-minute soak time, or less if net saturated with fish or sampling area is limited or completed 1 pass Monitor net constantly 	 1 net per sample unit 30-minute soak time, or less if net saturated with fish 1 pass Monitor net constantly
boat electrofishing (BEF)	 as much time as needed to cover the entire area that can be electrofished 1 pass, zigzag to cover variable depths 	 as much time as needed to cover the entire area that can be electrofished 1 pass, zigzag to cover variable depths

Notes:

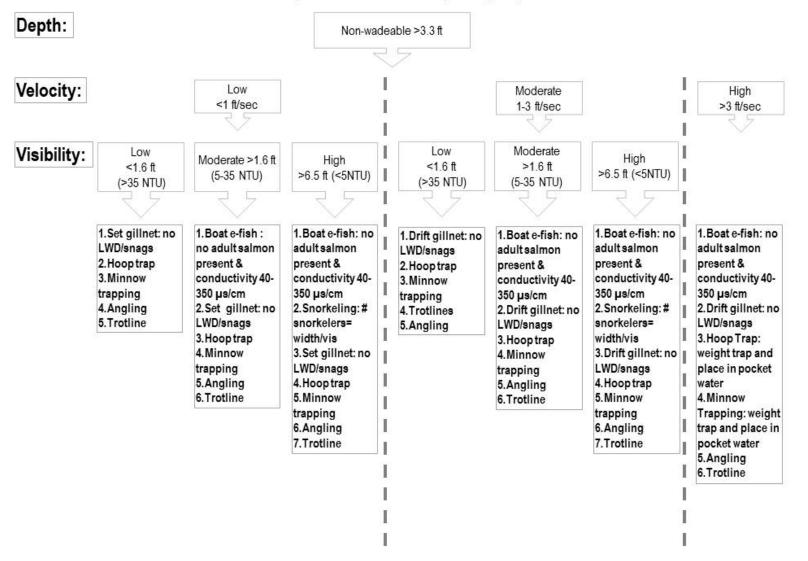
1 Since gillnets are potentially lethal nets, they should be monitored for the first hour of an extended set to prevent excessive catch and mortality.

7. FIGURES



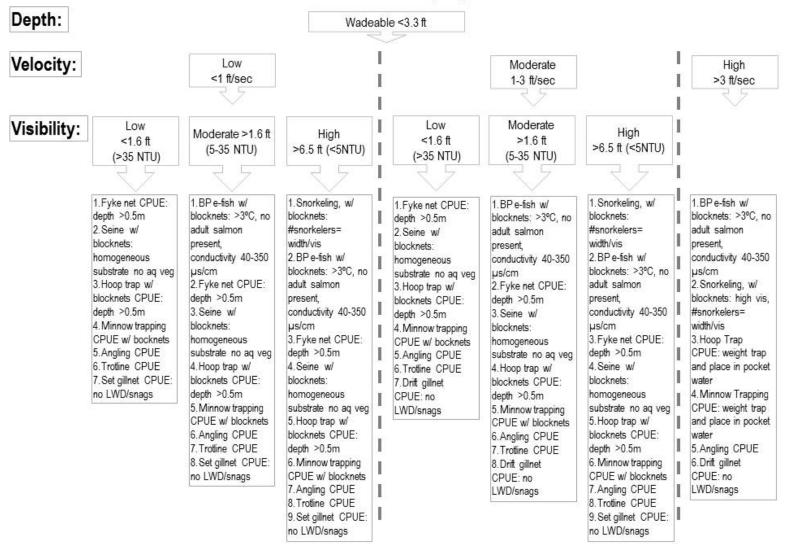
Fish Distribution (Presence-Absence) Sampling Decision Tree

Figure 1. Decision tree guide for fish distribution sampling in wadeable habitats.



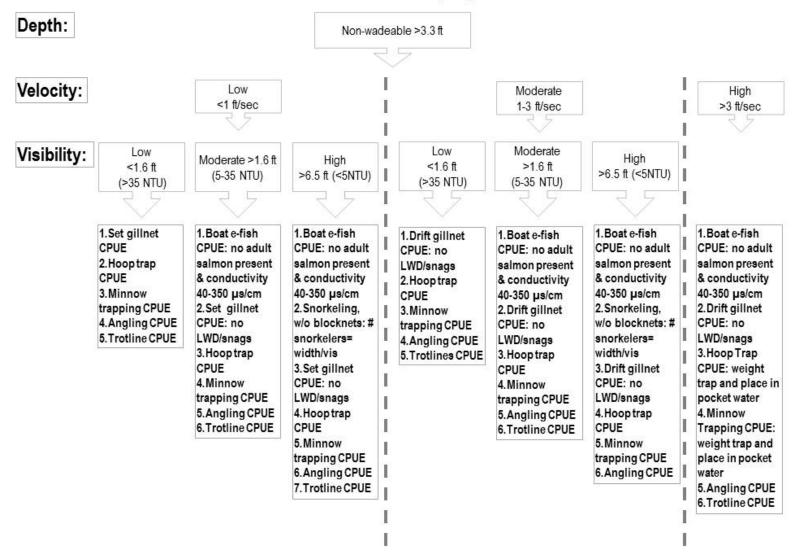
Fish Distribution (Presence-Absence) Sampling Decision Tree

Figure 2. Decision tree guide for fish distribution sampling in non-wadeable habitats.



Fish Relative Abundance Sampling Decision Tree

Figure 3. Decision tree guide for relative abundance sampling in wadeable habitats



Fish Relative Abundance Sampling Decision Tree

Figure 4. Decision tree guide for relative abundance sampling in non-wadeable habitats.

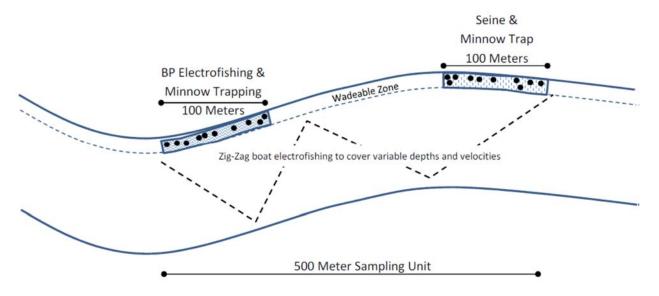


Figure 5. Schematic example of main channel sampling unit.

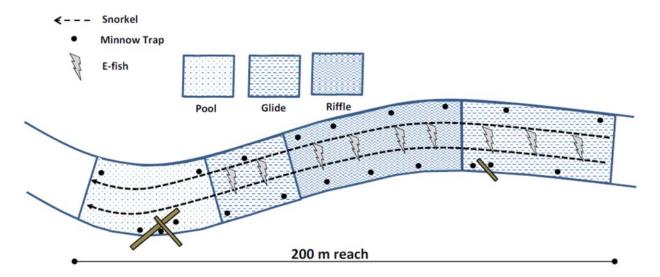


Figure 6. Schematic example of off-channel sampling unit. Snorkeling, electrofishing and minnow trapping were the techniques selected.

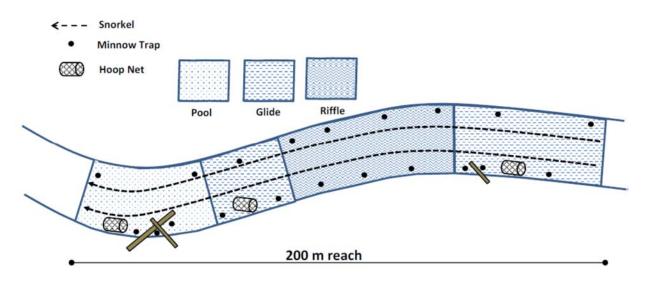


Figure 7. Schematic example of off-channel sampling unit. Because of adult salmon present in the sampling unit, snorkeling, minnow trapping, and hoop netting were the techniques selected.

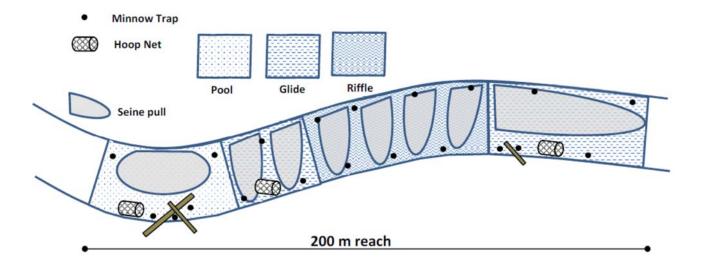


Figure 8. Schematic example of off channel sampling unit. Because of adult salmon presence and limited visibility, minnow trapping, seining and hoop trapping were the techniques selected.